Legal Questions

### **Sustainability**

1. What sustainability policies and reporting frameworks (e.g., GRI, SASB, EU CSRD) does the company adhere to?
2. How does the company manage supply chain sustainability, especially for critical components sourced from regions with weak environmental standards?
3. What are the company’s carbon footprint, waste management practices, and energy efficiency measures in production and logistics?
4. Have there been any regulatory inquiries or fines related to environmental or sustainability issues?

### **Corporate Legal**

1. Are there any ongoing or threatened litigations, arbitrations, or regulatory investigations against the company?
2. Has the company ever faced product liability claims, recalls, or compliance violations with medical device regulations (e.g., MDR, FDA)?
3. Are there material legal risks in key jurisdictions where the company operates or sells products?
4. Has the company ever been subject to anti-bribery, anti-corruption, or sanctions investigations?

### **Labor Law**

1. Are there any labor disputes, strikes, or unionization efforts ongoing or anticipated?
2. Does the company employ foreign workers, and are there any known visa, work permit, or compliance issues?
3. Are there policies and controls in place to prevent child labor, forced labor, or unsafe working conditions across the company and its supply chain?
4. What percentage of employees are under fixed-term or temporary contracts, and how are these managed from a compliance perspective?

### **Data Privacy**

1. How is patient and clinical trial data collected, stored, and protected under GDPR, HIPAA, or other applicable regulations?
2. Has the company faced any data breaches, privacy complaints, or regulatory investigations related to data protection?
3. What is the company’s data retention and deletion policy, and is it compliant with global standards?
4. How is cybersecurity risk managed, and are there regular third-party penetration tests or audits?

### **Intellectual Property & Trademarks**

1. Does the company hold patents or exclusive licenses for its core technologies, and are they protected in all relevant markets?
2. Are there any ongoing or potential disputes regarding IP infringement (patents, trademarks, trade secrets)?
3. Are the R&D and product development processes structured to ensure that all IP is properly assigned to the company and not retained by employees or contractors?
4. How long is the remaining protection period on the company’s key patents, and are there any risks of competitors developing around them?

### **Terms in Big Contracts**

1. What are the key terms in major customer contracts (termination clauses, exclusivity, change of control provisions)?
2. Do supplier agreements contain price adjustment mechanisms, supply guarantees, or liability caps that could materially impact profitability?
3. Are there any restrictive covenants (e.g., non-compete, exclusivity, volume commitments) that limit growth opportunities?
4. How are warranty, service, and indemnity obligations structured in major contracts with hospitals, clinics, or distributors?